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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/963,241	09/26/2001	Charles Mulinder	6311-053	8583

21890 7590 10/16/2007  
PROSKAUER ROSE LLP  
PATENT DEPARTMENT  
1585 BROADWAY  
NEW YORK, NY 10036-8299

EXAMINER
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CHANDLER, SARA M

ART UNIT	PAPER NUMBER
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3693

MAIL DATE	DELIVERY MODE
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10/16/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

## Interview Summary

Application No.

09/963,241

Applicant(s)

MULINDER ET AL.

Examiner

Sara Chandler

Art Unit

3693

All participants (applicant, applicant's representative, PTO personnel):

(1) Sara Chandler.

(3) Todd Gerety.

(2) Jagdish Patel.

(4) \_\_\_\_\_.

Date of Interview: 04 October 2007.

Type: a) ☒ Telephonic b) ☐ Video Conference  
c) ☐ Personal [copy given to: 1) ☐ applicant 2) ☐ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☐ No.  
If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: 1 and 45.

Identification of prior art discussed: Kaminsky.

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

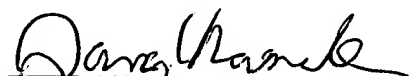
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: See Continuation Sheet.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 7.13.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

  
JAGDISH N. PATEL  
PRIMARY EXAMINER

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

  
Examiner's signature, if required

Continuation of Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Applicant was given the opportunity to briefly describe the invention, where the features believed to be novel were described in each independent claim and why applicant believes the claimed invention is different than the prior art of record. Examiners Chandler and Patel provided suggestions that would help clarify the claimed invention and advance prosecution. Suggestion included: 1) Incorporating in the independent claims that the method/system is automated. Similarly, it may be better to make the first limitation "receiving..." rather than "providng." In other words the dealing quotes are like an input that is received by the system, the calculating steps are how the system is computing the information and the output is the adjusted dealing quote. 2) Clarify that the plurality of dealing quotes comprises quotes that are still pending and quotes that have expired, but it is only the pending quotes that are part of the computations. 3) Define with specificity what dealing quotes and exposure are. 4) Distinguish between dealing quotes and future dealing quotes. 5) Clarify the adjustment that is to occur with respect to the future dealing quotes. 6) Check all claims to make sure amendments to not create 101 (e.g., useful, concrete, tangible result), 112 (e.g., antecedent basis), and grammar issues.